

CURRICULUM VITAE

Thomas J. Biersteker
Gasteyger Professor Honoraire
Graduate Institute of International and Development Studies, Geneva
Maison de la Paix, Chemin Eugène-Rigot 2
Case postale 136
1211 Geneva 21, SWITZERLAND
TEL: +41 22 908 5807 FAX: +41 22 908 6271
E-mail: thomas.biersteker@graduateinstitute.ch
and
Wilson Center Global Fellow
Woodrow Wilson International Center for Scholars
Washington, DC

DATE: 11 February 2022

EDUCATION and DEGREES

Ph.D., The Massachusetts Institute of Technology, Department of Political Science, February 1977

M.S., The Massachusetts Institute of Technology, Department of Political Science, February 1975

A.B. (with Honors), The University of Chicago, Public Affairs Program, June 1972

(M.A., Brown University, Ad Eundem, May 1993)

ACADEMIC APPOINTMENTS

Distinguished Non-resident Fellow of the Sejong Institute, Seoul, Republic of Korea, February 2022 through January 2025

Wilson Center Global Fellow, Woodrow Wilson International Center for Scholars, Washington, DC, April 2021 to April 2023

Gasteyger Professor Honoraire, The Graduate Institute of International and Development Studies, Geneva, January 2021 to the present

Curt Gasteyger Professor of International Security and Conflict Studies, The Graduate Institute of International and Development Studies, Geneva, July 2007 to December 2020

Adjunct Professor of International Studies, Watson Institute for International Studies, Brown University, July 2012 to 2015

Olive C. Watson Professor of International Studies, Watson Institute for International Studies and Department of Political Science, Brown University, January 2007 to July 2008

Henry R. Luce Professor of Transnational Organizations and Professor of Political Science, Watson Institute for International Studies and Department of Political Science, Brown University, 1992 to 2006

Professor, School of International Relations, University of Southern California, 1988 to 1992

Associate Professor, School of International Relations, University of Southern California, 1985 to 1988

Associate Professor, Department of Political Science, Yale University, 1981-1985

Assistant Professor, Department of Political Science, Yale University, 1976-1981

ADMINISTRATIVE APPOINTMENTS

Director for Policy Research, The Graduate Institute, Geneva, 2016 to 2020

Founder and Director, Programme for the Study of International Governance (today, the Global Governance Centre), The Graduate Institute, Geneva, 2011 to 2017

Chair, Department of International Relations and Political Science, The Graduate Institute, Geneva, 2011 to 2012

Director, Watson Institute for International Studies, Brown University, 1994 to 2006

Director, School of International Relations, University of Southern California, 1991 to 1992

Founder and Director, Center for International Studies, University of Southern California, 1985 to 1989

Director of Undergraduate Studies, Economics and Political Science Program, Yale University, 1978 to 1985

Director of Graduate Studies, International Relations Program, Yale University, 1977-1978

PUBLICATIONS

Books (10 published, 1 forthcoming)

Informal Governance in World Politics, Co-edited with Oliver Westerwinter and Kenneth Abbott (forthcoming with Cambridge University Press, 2022)

Targeted Sanctions: The Impacts and Effectiveness of United Nations Action Co-edited with Sue E. Eckert and Marcos Tourinho (Cambridge: Cambridge University Press, 2016)

Countering the Financing of Global Terrorism Co-edited with Sue E. Eckert, (New York and London: Routledge Publishers, 2008)

International Law and International Relations: Bridging Theory and Practice Co-edited with Veronica Raffo, Peter Spiro, and Chandra Sriram, (New York and London: Routledge Publishers, 2006)

The Rebordering of North America? Integration and Exclusion in a New Security Context Co-edited with Peter Andreas, (New York and London: Routledge Publishers, 2003)

The Emergence of Private Authority in Global Governance Co-edited with Rodney B. Hall, (Cambridge: Cambridge University Press, 2002)

Argument without End: In Search of Answers to the Vietnam Tragedy Co-authored with Robert S. McNamara, James G. Blight, Robert K. Brigham, and Col. Herbert Y. Schandler, (New York: PublicAffairs Press, 1999)

State Sovereignty as Social Construct Co-edited with Cynthia Weber, (Cambridge: Cambridge University Press, 1996)

Dealing with Debt: International Financial Negotiations and Adjustment Bargaining (Boulder, CO: Westview Press, 1993)

Multinationals, the State, and Control of the Nigerian Economy (Princeton, NJ: Princeton University Press, 1987)

Distortion or Development? Contending Perspectives on the Multinational Corporation (Cambridge, MA: The MIT Press, 1978)

Multimedia Devices (2, with five updated versions)

SanctionsApp, with Sue Eckert, Zuzana Hudakova, and Marcos Tourinho, Computer software, Apple App Store, 21 June 2013; Updated to Version 2.0, November 2014; Version 3.0 released in October 2015, Version 4.0 released in December 2016, Version 5.0 released in September 2018,

Re-designed and Re-named *UNSanctionsApp* Version 6.0 released in June 2021.

Peer-reviewed Journal Articles (33 accepted for publication)

“Exploring the Relationships between UN Sanctions and Mediation” with Rebecca Brubaker and David Lanz, Lead article for a Special Section of *Global Governance*, forthcoming 2022.

“Are Sanctions on North Korea Working?” with Zuzana Hudáková *Global Asia* (September 2021)

“Informal Governance in World Politics” with Oliver Westerwinter and Kenneth Abbott, Special issue Section of the *Review of International Organizations*, 2021 DOI: 10.1007/s11558-020-09382-1.

“Kofi Annan’s Legacy of UN Reform” *Caribbean Journal of International Relations & Diplomacy*, Volume 5, No. 2, September 2020 (pp. 31-50).

“The Potential of Europe’s Sharp and Soft Power” *Global Policy Journal*, May 2020.

“Global Perspectives on Security, Cooperation, International Institutions, and International Relations” *Global Perspectives*, Volume 1, Number 1, February 2020.

“The institutional ‘hinge’: How the end of the Cold War conditioned Canadian, Russian and Swiss IR scholarship” with Félix Grenier, Jonas Hagmann, Marina Lebedeva, Yulia Nikitina, and Ekatarina Koldunova, *International Studies Perspectives*, 2019 DOI 10.1093/isp/ekz021.

“Understanding Effectiveness of International Sanctions” *MGIMO Review of International Relations*, 2019.3(66).C.7-16.

“UN Targeted Sanctions Datasets (1991-2013)” with Sue Eckert, Marcos Tourinho, and Zuzana Hudakova, *Journal of Peace Research* (May 2018).

“Création d’un espace discursif mondial : Les défis de l’interdisciplinarité, de l’esprit de clocher et de l’intégration des savoirs pratiques dans l’enseignement des Relations internationales” (Creating a global discursive space : the challenges of inter-disciplinarity, parochialisms, and integration of practical knowledge in the teaching of International Relations) *Etudes Internationales* Volume 46, Number 4, 2016.

“Self-Assessment Reviews and External Evaluation Exercises in IR Education” *International Studies Review* (2016)

“Dialectical Reflections on Transformations of Global Security during the Long 20th Century” *Globalizations*, Volume 11, Number 5, December 2014

“Beyond the published discipline: Towards a critical pedagogy of international studies” with Jonas Hagmann, *European Journal of International Relations*, Volume 20, Number 2, 2014

“The Art of Sanctions” *Brown Journal of World Affairs*, Volume XIX, Issue II, Spring/Summer 2013

“Interrelationships between Theory and Practice in International Security Studies,” *Security Dialogue*, 41 (6) November 2010

“Targeted Sanctions and Human Rights,” *The International Journal*, 2010

“The ‘Peculiar Problems’ of Scholarly Engagement in the Policy Process,” *International Studies Review*, Volume 10, Issue 1, March 2008

“Prospects for the UN Peacebuilding Commission,” *Disarmament Forum*, March 2007

“Émergence, évolution, effets et défis des sanctions ciblées,” *Géoéconomie*, Revue trimestrielle, N. 30, été 2004

“Response” *Cambridge Review of International Affairs*, Volume 17, Number 2, July 2004

“La Gouvernance privée dans le système international” with Rodney B. Hall, *L’économie politique*, numero 12, 4e trimestre, 2001: 5-18

“L’émergence des autorités privées” with Rodney B. Hall, *Alternatives Economiques*, No. 47, 1er trimestre 2001

"Eroding Boundaries, Contested Terrain" *International Studies Review*, 1(1), May 1999

"Globalization and the Modes of Operation of Major Institutional Actors" *Oxford Development Studies*, 26(1), February 1998

"Theory in Pursuit of Practice" *Journal of Democracy*, July 1997, Vol. 8, No. 3

“Los Problemas de las Transiciones Simultáneas” with Leslie E. Armijo and Abraham F. Lowenthal, *Este País* Tendencias y opiniones, número 47, febrero de 1995: 2-10

"The Problems of Simultaneous Transitions" with Leslie E. Armijo and Abraham F. Lowenthal, *Journal of Democracy*, 5 (4), 1994: 161-175

"Evolving Perspectives on International Political Economy: Twentieth Century Contexts and Discontinuities," *International Political Science Review*, 14(1) 1993: 7-33

"Reducing the Role of the State in the Economy: A Conceptual Exploration of IMF and World Bank Prescriptions," *International Studies Quarterly*, 34(4) 1990: 477-492

"Critical Reflections on Post-Positivism in International Relations," *International Studies Quarterly*, 33(3), 1989: 263-267

"The Dialectics of World Order: Notes for a future archaeologist of international savoir faire," Co-authored with Hayward R. Alker, Jr., (M.I.T.), *International Studies Quarterly*, 28(2), 1984: 121-142

"The Illusion of State Power: Transnational Corporations and the Neutralization of Host-Country Legislation," *Journal of Peace Research*, 17(3), 1980: 207-221

"Self-Reliance in Theory and Practice: Transforming Tanzanian Trade Relations," *International Organization*, 34(2), 1980: 229-264

Book Chapters (52)

“Quantum Pedagogy: Teaching *Copenhagen* and Discovering Affinities with Dialectical Thinking in IR” in James Der Derian and Alexander Wendt (eds.) *Quantizing International Relations* (Oxford: Oxford University Press, forthcoming April 2022).

“International Sanctions on North Korea: Are They Working?” Co-authored with Zuzana Hudáková, forthcoming in Chung-in Moon and Robert Carlin (eds) *Understanding North Korea* (Lexington Books, forthcoming 2022).

“UN targeted sanctions: Historical development and current challenges” co-authored with Zuzana Hudáková in Peter van Bergeijk (ed.) *Research Handbook on Economic Sanctions* (Edward Elgar Publishers, December 2021).

“International Organizations” co-authored with Cecilia Cannon in Helmut Anheier and Theodor Baums (eds.) *Advances in Corporate Governance: Comparative Perspectives* (Oxford: Oxford University Press November 2020).

“The ILO’s Role in Global Governance: Limits and Potential” with Velibor Jakovleski and Scott Jerbi in Gilles Carbonier and Christophe Gironde (eds.) *The ILO at 100*, (Brill Publishers, 2019).

"Counter-mapping the discipline: The archipelago of International Relations teaching" co-authored with Jonas Hagmann, forthcoming in Andreas Gofas, Inanna Hamati-Ataya, and Nicolas Onuf (eds.) *SAGE Handbook of the History, Philosophy and Sociology of International Relations* (SAGE Publishers, London: 2018)

“SanctionsApp as Expertise (and Exclusionary Ignorance) in a Global Policy Setting” in Anna Leander and Ole Waever (eds.) *Assembling Exclusive Expertise. Knowledge, Ignorance and Conflict Resolution in the Global South* (London: Routledge Publishers, 2018).

“Connecting Scholarly Expertise to International Policy Practice at the United Nations” in Annabelle Littoz-Monnet (ed.) *The Politics of Expertise in International Organizations* (New York and London: Routledge Publishers, 2017)

“Global Security Governance” in Myriam Dunn Cavelty and Thierry Balzacq (eds.) *Routledge Companion to Security* (New York and London: Routledge Publishers, 2016)

“Dialectical Reflections on Transformations of Global Security during the Long 20th Century” in Shannon Brincat (ed.) *Dialectics in World Politics* (New York: Routledge Publishers, 2015)

“Rising Powers and the Reform of Practices of International Security Institutions” with Erica Moret, in Jamie Gaskarth (ed.) *Rising powers, global governance and global ethics* (London: Routledge, 2015).

“UN Targeted Sanctions as Signals: Naming and Shaming, or Naming and Stigmatizing?” in H. Richard Friman (ed.) *Name, Shame and Sanction: The Politics of Leverage in International Relations* (London: Palgrave, 2015).

“Global Governance” in Robert Rotberg (ed.) *On Governance: National and International Dimensions of Measuring Governance Effectiveness* (Waterloo Ontario: CIGI, 2015).

“Participating in Transnational Policy Networks: Targeted Sanctions” in Mariano E. Bertucci and Abraham F. Lowenthal (eds.) *Scholars, Policymakers and International Affairs: Finding Common Cause* (Baltimore and London: Johns Hopkins University Press, 2014)

“Sanctions” in Joel Krieger (ed.) *Oxford Companion to International Relations* (Oxford: Oxford University Press, 2014)

“Sanctions: preventives ou dissuasives?” in Jean-Pierre Vettovaglia et. al., *Prevention des Crises et Promotion de la Paix, Volume III, Les déterminants des conflits et nouvelles formes de prevention* (Bruxelles: Bruylant, 2013)

“State, Sovereignty, and Territory” in Walter Carlsnaes, Thomas Risse, and Beth A. Simmons (eds.) *The Handbook of International Relations*, 2nd edition (London: SAGE Publications, Ltd., 2012)

“Global Governance, the Sustainability of International Institutions, and the Potential Role of University-based Research Institutes” in Luc E. Weber and James J. Duderstadt (eds.) *Global Sustainability and the Responsibilities of Universities* (Economica, Paris, London and Geneva, 2012)

“Introduction” co-authored with Renée Marlin-Bennett, in Renée Marlin-Bennett (ed.) *Alker and IR: Global studies in an interconnected world* (New York and London: Routledge Publishers, 2011)

“Global Governance” in Miguel Poiars Maduro (ed.) *An EU Agenda for Global Governance*, RSCAS Policy Papers, 2011/01, Robert Schuman Centre for Advanced Studies, Global Governance Programme, European University Institute, EUI Florence, 2011

“Unintended Consequence of Measures to Counter the Financing of Terrorism” in Christopher Daase and Cornelius Friesendorf (eds.) *Rethinking Security Governance* (New York and London: Routledge Publishers, 2010)

“(Mis)measuring Success in the Financial “War” on Terrorism” with Sue E. Eckert in Peter Andreas and Kelly M. Greenhill (eds.) *Sex, Drugs, and Body Counts* (Ithaca: Cornell University Press, 2010).

“Global Governance” in Myriam Dunn Cavelty and Victor Mauer (eds.) *Routledge Companion to Security* (New York and London: Routledge Publishers, 2009)

“Building and Maintaining an International Regime to Counter the Financing of Terrorism” in Steve Tsang (ed.) *Combating Transnational Terrorism* (New York and London: Routledge Publishers, 2009)

“The Parochialism of Hegemony: Challenges for ‘American’ International Relations” in Arlene Tickner and Ole Waever (eds.) *The World of International Relations Scholarship* (New York and London: Routledge Publishers, 2009)

“Lessons from the UN’s Counter-Terrorism Efforts for UNSCR 1540” in Olivia Bosch and Peter van Ham (eds.) *Global Non-Proliferation and Counter-Terrorism: The Impact of UNSCR 1540*, Washington, D.C.: Brookings Institution in association with Chatham House and Clingendael, 2006

“Targeted Sanctions and State Capacity: Towards a Framework for National Level Implementation” with Sue E. Eckert, Aaron Halegua, and Peter Romaniuk in Peter Wallensteen and Carina Staibano (eds.) *Between Words and Wars: The Challenge of New Sanctions*, Uppsala, Sweden: Department of Peace and Conflict Research, Uppsala University, 2005

“Consensus from the Bottom Up? Assessing the Influence of the Sanctions Reform Process” with Sue E. Eckert, Aaron Halegua, and Peter Romaniuk in Peter Wallensteen and Carina Staibano (eds.) *Between Words and Wars: The Challenge of New Sanctions*, Uppsala, Sweden: Department of Peace and Conflict Research, Uppsala University, 2005

"Cutting Off Resources for Terrorism and Crime: Counter Terrorism Activities under UN Security Council Auspices" in Alyson Bailes (ed.) *Business and Security After 11 September 2001: Protecting the Legitimate and Blocking the Illegitimate*, Oxford: Oxford University Press, 2004

"The Return of the State? *Financial Re-regulation in the Pursuit of National Security After September 11* (with Peter Romaniuk) in John Tirman (ed.) *Maze of Fear*, New York: The New Press, 2004

"The Dialectics of World Order: Notes for a Future Archaeologist of International Savoir Faire" (reprint of 1984 ISQ article with Hayward R. Alker) in Takashi Inoguchi (ed.) *Gendai kokusai seijigaku riron (Contemporary International Relations Theories: Readings)* Tokyo: Toyo Shorin Publisher, 2003

“Targeting Terrorist Finances: The New Challenges of Financial Market Globalization” in Ken Booth and Tim Dunne (eds.) *Worlds in Collision: Terror and the Future of Global Order* London: Palgrave/St. Martins Publishers, 2002

“State, Sovereignty, and Territory” in Walter Carlsnaes, Thomas Risse, and Beth A. Simmons (eds.) *The Handbook of International Relations*, London: SAGE Publications, Ltd., 2002

“Targeting Financial Sanctions: Harmonizing National Legislation and Regulatory Practices,” with Natalie Reid, Sue E. Eckert, and Jarat Chopra in David Cortright and George Lopez (eds.) *Smart Sanctions: Toward Effective and Humane Sanctions Reform*, Lanham MD: Rowman and Littlefield, 2002

"Globalization as a Mode of Thinking in Major Institutional Actors" in Ngaire Woods (ed.) *The Political Economy of Globalization*, London: Macmillan Publishers, 2000

"Learning from Tragedy: Lessons of Vietnam for the 21st Century" Co-authored with Robert S. McNamara, in Robert S. McNamara, James G. Blight, and Robert K. Brigham, with Thomas J. Biersteker and Col. Herbert Y. Schandler, *Argument without End: In Search of Answers to the Vietnam Tragedy* New York: PublicAffairs Press, 1999

"Locating the emerging European Polity: Beyond States or State" in Jeffrey J. Anderson, ed., *Regional Integration and Democracy: Expanding on the European Experience*, Boulder: Rowman and Littlefield Publishers, 1999

"Globalization and the North American Free Trade Agreement: Conceptual Changes within Major Institutional Actors and Their Potential Implications" in David R. Dávila-Villers (ed.) *NAFTA on Second Thought: A Plural Evaluation*, New York: University Press of America, 1998

"The Rise and Fall of Structural Adjustment in Nigeria" with Peter Lewis in Larry Diamond, Anthony Kirk-Greene, and Oyeleye Oyediran (eds.) *Transition Without End: Nigerian Politics and Civil Society Under Babangida*, Boulder, CO: Lynne Rienner Publishers, Inc., 1997

"The Problems of Simultaneous Transitions," with Leslie E. Armijo & Abraham F. Lowenthal in Larry Diamond and Marc F. Plattner (eds.) *Economic Reform and Democracy*, Baltimore and London: Johns Hopkins University Press, 1995

"The 'Triumph' of Liberal Economic Ideas in the Developing World," in Barbara Stallings (ed.) *Global Change, Regional Response*, Cambridge: Cambridge University Press, 1995

"The Dialectics of World Order: Notes for a Future Archaeologist of International Savoir Faire" (reprint of 1984 ISQ article with Hayward R. Alker) in James Der Derian (ed.) *International Theory: Critical Investigations*, London: Macmillan Publishers 1995 and New York: New York University Press, 1994

"Constructing Historical Counterfactuals to Assess the Consequences of International Regimes: The Global Debt Regime and the Course of the Debt Crisis of the 1980s" in Volker Rittberger (ed.) *Regime Theory and International Relations*, Oxford: Oxford University Press, 1993

"The Logic and Unfulfilled Promise of Privatization in Developing Countries" in Louis Putterman and Dietrich Rueschemeyer (eds.) *State and Market in Development: Synergy or Rivalry?*, Boulder, CO.: Lynne Rienner Publishers, 1992

"The 'Triumph' of Neoclassical Economic Thinking in the Developing World: Ideas, Interests and Institutions in the Construction of a New International Division of Labor" in James N. Rosenau and E.O. Czempiel (eds.) *Governance without Government: Order and Change in World Politics*, Cambridge: Cambridge University Press, 1991

"International Political Security: From Imperial Power Balancing to People's Wars" in James Der Derian and Michael Shapiro (eds.) *Intertextual/International Relations*, Lexington: D.C. Heath, 1989

"The Illusion of State Power" (reprint of 1980 Journal of Peace Research article) in George A. Lopez and Michael S. Stohl (eds.) *International Relations: Contemporary Theory and Practice*, Washington, D.C.: Congressional Quarterly Inc., 1989

"Indigenization and the Nigerian Bourgeoisie: Dependent Development in an African Context," in Paul M. Lubeck (ed.) *The African Bourgeoisie: The Development of Capitalism in Nigeria, Kenya and the Ivory Coast*, Boulder, CO: Lynne Rienner Publishers, 1987

"Self-Reliance in Theory and Practice in Tanzanian Trade Relations" (revision of 1980 International Organization article) in John Ravenhill (ed.) *Africa in Economic Crisis*, London: Macmillan Publishers, 1986

"Indigenization in Nigeria: Renationalization or Denationalization?" in I. William Zartman (ed.) *The Political Economy of Nigeria* (New York: Praeger Publishers, 1983). (Reprinted in Timothy M. Shaw and Olajide Aluko (eds.) *Nigerian Foreign Policy: Alternative Perceptions and Projections*, London: Macmillan Publishers, 1983

"The Limits of State Power in the Contemporary World Economy," in Henry Shue and Peter G. Brown (eds.) *Boundaries: National Autonomy and Its Limits*, Series in Philosophy and Public Policy, Tatawa, NJ: Rowman and Littlefield, 1981

Policy Reports (35)

UN individual sanctions listing and de-listing patterns and their interaction with autonomous measures: Considerations for mediators, with Aurel Niederberger (New York: United Nations University, 2022.

Sanctions relaxation and conflict resolution: Lessons from past sanctions regimes, with Zuzana Hudáková and Erica Moret, prepared for the Carter Center, Atlanta, Georgia, August 2021.

Enhancing Due Process in UN Security Council Targeted Sanctions Regimes, with Larissa van den Herik and Rebecca Brubaker, commissioned by the International Law Directorate of the Swiss Federal Department of Foreign Affairs, March 2021.

Negotiated Settlement through Sanctions Relief: Options for the Korean Peninsula, co-authored with David Lanz, Policy Brief Number 75, published by the Asia-Pacific Leadership Network, December 2020.

Options Paper for Yemen: Sanctions Relief Possibilities to Facilitate Mediation, Co-authored with Rebecca Brubaker and David Lanz as part of the Sanctions and Mediation Project, July 2020.

Sanctions relief to help achieve a negotiated settlement? Options for the Korean Peninsula, Co-authored with David Lanz, Non-published paper commissioned by from swisspeace by the Swiss Federal Department of Foreign Affairs, March 2020

Guardian of the Galaxy: EU cyber sanctions and norms in cyberspace, Co-edited with Patryk Pawlak, EUISS Chaillot Paper 155, October 2019

UN Sanctions and Mediation: Establishing Evidence to Inform Practice Co-authored with Rebecca Brubaker and David Lanz, United Nations University, Centre for Policy Research, February 2019

Breaking the Deadlock: Options for DPRK Sanctions Relief Non-published paper commissioned by Inter-Mediate, Co-authored with Zuzana Hudáková, Research Associate, The Graduate Institute, Geneva, and Marcos Tourinho, Assistant Professor, Fundação Getulio Vargas, São Paulo, December 2018

UN Sanctions and Mediation Processes: liability or asset? HD Briefing Paper for 2018 Oslo Forum, co-authored with Rebecca Brubaker (UNU) and David Lanz (swisspeace), June 2018

The New Deterrent? International Sanctions against Russia over the Ukraine Crisis, co-authored with Erica Moret (lead author), Francesco Giumelli, Clara Portela, Marusa Weber, Dawid Jarosz, and Cristian Boboccea (The Graduate Institute, Geneva, October 2016)

“How and when do sanctions work? The evidence,” *EUISS Sanctions Report*, Chapter One, co-authored with Peter van Bergeijk, (EUISS, Paris, September 2015)

Putting EU Sanctions in Context: The Complexities of Multilateralism, co-authored with Clara Portela. EUISS Brief, Paris, July 2015.

Sanctions and Peace Negotiations: Possibilities for Complementarity, with the assistance of Zuzana Hudakova, Oslo Forum Series Paper prepared for Humanitarian Dialogue, Geneva, September 2014.

Types of UN Targeted Sanctions and their Effectiveness: Research Note, with the assistance of Zuzana Hudáková, The Graduate Institute, Geneva, Note prepared for the Sanctions Unit of the UN Secretariat, New York, 23 July 2014

The Effectiveness of United Nations Targeted Sanctions—Findings from the Targeted Sanctions Consortium (TSC), co-authored with Sue E. Eckert, Marcos Tourinho, and Zuzana Hudakova, Prepared for the launch of the Web version of SanctionsApp, November 2013.

Due Process and Targeted Sanctions: An Update of the “Watson Report” Co-authored with Sue E. Eckert of the Watson Institute Targeted Sanctions Project, Brown University and presented at the United Nations in New York, December 2012

Designing United Nations Targeted Sanctions – A Guide Prepared by the Targeted Sanctions Consortium (TSC) Evaluating the Impacts and Effectiveness of UN Targeted Sanctions, co-authored with Sue E. Eckert and Marcos Tourinho, Prepared for the Glyn Berry Program, DFAIT, Government of Canada, August 2012.

Trends in Terrorist Financing – A Review of the Literature, Report Prepared for Booz, Allen, & Hamilton Consultants, Washington, DC, August 2011

Sources of Terrorist Financing: Placing Charities Diversion in Perspective, with the assistance of Achim Wennmann and Ashley Thornton, Report prepared for the Islamic Charities Project, Centre on Development, Conflict, and Peacebuilding, The Graduate Institute, Geneva, July 2011

Briefing Paper on UN Targeted Sanctions, co-authored with Sue E. Eckert, Prepared for the International Security Research Outreach Programme (ISROP), Department of Foreign Affairs & International Trade (DFAIT), Government of Canada, March 2011

The Impacts and Effectiveness of UN Nonproliferation Sanctions: Provisional Report on the Targeted Sanctions on Iran and the DPRK, co-authored with Sue E. Eckert, Prepared for the International Security Research and Outreach Programme (ISROP), Canadian Department of Foreign Affairs and International Trade, March 2011

The Challenges of Institution Building: Prospects for the UN Peacebuilding Architecture co-authored with Oliver Jütersonke, Working paper published by the Norwegian Institute of International Affairs and the Centre for International Political Studies, University of Ottawa, 2010

Addressing Challenges to Targeted Sanctions: An Update of the “Watson Report” White Paper prepared by the Center on Conflict, Development and Peace-building, The Graduate Institute, Geneva and the Watson Institute Targeted Sanctions Project, Brown University, presented at the United Nations, October 2009

Strengthening Targeted Sanctions through Fair and Clear Procedures, White Paper prepared by the Watson Institute Targeted Sanctions Project, Brown University, for the governments of Switzerland, Germany, and Sweden, presented at the United Nations, March 30, 2006 and published as a UN Security Council and General Assembly document (A/60/887-S/2006/331), June 14, 2006

A Comparative Assessment of Saudi Arabia with other Countries of the Islamic World, Appendix prepared by the Watson Institute Targeting Terrorist Finances Project for the Council on Foreign Relations, published in the Second Report of an Independent Task Force on Terrorist Financing, titled *An Update on the Campaign Against the Financing of Terrorism*, New York: Council on Foreign Relations, June 2004

Report on the Workshop on UN Sanctions Reform, convened at the Watson Institute for members of the UN Security Council, 2-3 May 2003, by the Targeted Financial Sanctions Project, Thomas J. Biersteker, Sue E. Eckert, Peter Romaniuk, and Aaron Halegua, November 2003

Background Paper on Targeted Sanctions prepared by the Targeted Financial Sanctions Project for the Workshop on United Nations Sanctions Reform, 2-3 May 2003, convened at the Watson Institute for members of the UN Security Council, Thomas J. Biersteker, Sue E. Eckert, Peter Romaniuk, and Aaron Halegua, May 2003

Supporting Member State Capacity to Implement Targeted Sanctions, Part III of *Making Targeted Sanctions Effective: Guidelines for the Implementation of UN Policy Options*, with the assistance of Sue E. Eckert and Peter Romaniuk, Edited by Peter Wallensteen, Carina Staibano, and Mikael Eriksson, Department of Peace and Conflict Research, Uppsala University, February 2003

Targeted Financial Sanctions: A Manual for Design and Implementation, with Sue E. Eckert, Aaron Halegua, Natalie Reid, and Peter Romaniuk, presented to the UN Security Council, New York, October 2001

A Guide to the Development and Implementation of Targeted Financial Sanctions, with Sue E. Eckert, Aaron Halegua, Natalie Reid, and Peter Romaniuk, Prepared for the Targeted Financial Sanctions Workshop hosted by the Swiss Mission to the United Nations in New York, July 2001

Targeted Financial Sanctions Simulation: Exercise Report, with Sue E. Eckert, Lawrence Modisett, Natalie Reid, and Paul Taylor, DSD Report 01-2, Naval War College, Newport, R.I., March 2001

Report of the Proceedings of the Targeted Financial Sanctions Simulation Exercise, with Sue E. Eckert and Natalie Reid, Report on the Targeted Financial Sanctions Simulation Exercise, Newport RI: Naval War College, July 2000

Targeted Financial Sanctions: A Primer, with Sue E. Eckert and Natalie Reid. Background Paper for the Targeted Financial Sanctions Simulation Exercise, Newport RI: Naval War College, May 2000

The Social and Cultural Impacts of Transnational Corporations in Developing Countries: the Case for the Transnational Corporation, a technical paper commissioned by the UN Centre on Transnational Corporations designed to articulate the TNC position on the social and cultural impacts of their activities in developing countries, May 1981, subsequently issued as an ECOSOC Report, *Studies on the Effects of the Operations and Practices of Transnational Corporations, Aspects of the Social and Political Activities of Transnational Corporations*, E/C.10/86, 14 July 1981.

Op-Eds

Sanctions on NK: overused, underutilized, *Korea Times* (Seoul), 7 October 2020

HONORS, FELLOWSHIPS, AND AWARDS

Professional Achievement Award, Alumni Association of the University of Chicago, November 2020

Honorary Distinguished Professor at the Graduate School of International Studies, Ewha Womans University, in Seoul, Korea, 2004

Member of the Council on Foreign Relations, New York, June 2002 to the present

Fellow of the Club of Madrid, 2001

Freedom Project Award, Templeton Foundation, October 2001

Appointed an Honorary Fellow of the Foreign Policy Association, New York, May 2001

Senior Faculty Fellowship, Yale University, 1982-1983

Junior Faculty Fellowship, Yale University, 1979-1980

Helen Dwight Reid Award, American Political Science Association award for best dissertation in International Relations, 1978

Goettler Political Institutions Prize for student papers in the Social Sciences, University of Chicago, 1970 and 1971

RESEARCH AND CONSULTING GRANTS

Federal Department of Foreign Affairs, Switzerland, International Law Directorate, “Enhancing Due Process in UN Security Council Targeted Sanctions Regimes” with Larissa van den Herik and Rebecca Brubaker, 2020-2022

Global Affairs Canada, Grant for the Re-Programming of SanctionsApp, 2020-2021

Federal Department of Foreign Affairs, Switzerland, “UN Sanctions and Mediation: Policy Uptake” with Rebecca Brubaker and David Lanz, 2019-2022

Federal Department of Foreign Affairs, Switzerland, “UN Sanctions Training” with Kelvin Ong, Zuzana Hudáková, and Marcos Tourinho, 2020-2022

Federal Department of Foreign Affairs, Switzerland, Asia Section, “Sanctions relief as an element of Peacemaking: Options for the Korean Peninsula” with David Lanz, 2019-2020

Global Affairs Canada, Grant for the Re-Programming of SanctionsApp, 2019-2020

Swiss National Science Foundation, SNF, “UN Sanctions and Mediation: Complementarities and Complications” (Grant to convene participants from a policy research project to produce a scholarly edited volume), 2019-2020

Federal Department of Foreign Affairs, Switzerland, “UN Sanctions and Mediation” with Rebecca Brubaker and David Lanz, 2016-2019

Swiss National Science Foundation, SNF, “Can an International Organization’s Propensity to Reform Help its Member-states Break Through Policy Gridlock?” with Cecilia Cannon, Cédric Dupont, and Velibor Jakovleski, 2016-2020

Swiss National Science Foundation, SNF, “International Relations Studies and Education: A Comparative Analysis of Russian, Swiss and Canadian Approaches” with Felix Grenier, Jonas Hagmann, and Marina Lebedeva, 2016-2018

Government of Switzerland, FDFA, Development of SanctionsApp, 2013-14

Government of Switzerland, FDFA, Impacts and Effectiveness of UN Targeted Sanctions, 2012-13

Government of Switzerland, FDFA, Impacts and Effectiveness of UN Targeted Sanctions, 2011

Government of Canada, Impacts and Effectiveness of UN Targeted Sanctions, 2010-2012

Government of the United Kingdom, Impacts and Effectiveness of UN Targeted Sanctions, 2009-2010

Government of Switzerland, Impacts and Effectiveness of UN Targeted Sanctions, 2009

UNO Academia, Targeted Sanctions and Human Rights, 2008-09

Governments of Germany, Sweden and Switzerland, Strengthening Targeted Sanctions through Fair and Clear Procedures, 2006

U.S. Institute of Peace, Financing Global Terrorism, 2004

Governments of Germany, Sweden and Switzerland, Targeted Sanctions Workshop, 2003

Swedish Ministry of Foreign Affairs, Implementation of Targeted Sanctions, 2002

Templeton Foundation, Freedom and Accountability course, 2001

Swiss Federal Department of Foreign Affairs, Targeted Financial Sanctions, 2001

Carnegie Corporation, Targeted Financial Sanctions, 1998-2000

Carnegie Corporation, Understanding the End of the Cold War, 1997-1999

Rockefeller Foundation, African Dissertation Training Program, 1996-1997

Social Science Research Council, Sovereignty Project, 1992-1993

Association for the Promotion of International Cooperation (Tokyo), International Financial Negotiations and Adjustment Bargaining, 1988

Pew Foundation, Initiative in Diplomatic Training, 1986-1988

Fulbright Senior Research Award, Nigeria, 1982

Social Science Research Council, Multinationals, the State, and Control of the Economy, 1981

Institute for the Study of World Politics, Self-reliance: from theory to practice, 1980

Concilium on International and Area Studies, Yale University, various projects, 1977, 1978, 1979, 1983

Institute for the Study of World Politics, Dissertation Grant, Contending Perspectives on MNCs, 1974-1976

US National Science Foundation, Dissertation Grant, Contending Perspectives on MNCs, 1974-1975

CONSULTANCIES

Government of Switzerland, Federal Department of Foreign Affairs, Directorate of International Law, analysis of options for due process protection for individuals designated for sanctions by the UN, but lacking access to the jurisdiction of the Office of the Ombudsperson, 2020-2022

Government of Switzerland, Federal Department of Foreign Affairs, analysis of options for sanctions relief and their implications for mediation efforts between the DPRK and the United States, 2019-2020

Inter-Mediate, analysis of options for sanctions relief for the DPRK, 2018

Rasmussen Global, analysis of the effectiveness of sanctions on Russia over Ukraine, 2016

Booz, Allen, Hamilton, on trends in terrorist financing, 2011

GHK Consulting, on the design of a terrorist financing tracking programme for the European Union, 2011

The European Commission, on the Foreign Policy Institutional Research Programmes, FP-6 and FP-7, 2010 and 2011

U.S. Department of State, briefings for ambassadors-designate to Nigeria, 1986, 1989, and 1992

Mobil Oil Corporation, on investment in Nigeria, 1989

World Bank and World Health Organization, on an essential drugs program for Nigeria, 1987

United Nations Centre on Transnational Corporations, on the social and cultural impacts of TNC investments in developing countries, 1980-1982

General Electric Company (USA), on investment in Nigeria, 1979

GOVERNMENT BRIEFINGS

US UN Briefing on “Sanctions Relief options for DPRK,” input for US policy review of its DPRK policy, April 2021

UN Programme to Support Cooperation in Northeast Asia Dialogue, organized by the Korea Society for the UN Secretariat and diplomats from Finland, Norway, and Switzerland, Briefing on “Sanctions Relief and a Negotiated Settlement on the Korean Peninsula,” March 2021

Government of Norway, Briefing on DPRK Sanctions with members of the World Economic Forum's Global Futures Council on the Korean Peninsula, February 2021

German, Belgian, and Swiss Missions to the United Nations in New York, Presentation to 50+ Member States on "Strengthening Due Process within the United Nations System," December 2020

United Nations, New York, Like-Minded States Group, Presentation on "Enhancing Due Process in UN Security Council Sanctions Regimes," convened by the Swiss Mission to the UN in New York, December 2020

Australian Parliament, Written Presentation for the Parliament as it considers the adoption of an Australian Magnitsky Act, July 2020

Switzerland Mission to the UN in New York, High-level Panel Discussion: Tenth Anniversary of the Office of the Ombudsperson to the ISIL (Da'esh) and Al-Qaida Sanctions Committee, UN Secretariat, New York, December 2019

UK Foreign and Commonwealth Office, London, UN Sanctions and Mediation Efforts, May 2019

EU Institute for Security Studies Cyber-Sanctions Task Force, Open meeting with EU government officials, Paris, December 2018

UN Security Council, Arria Formula Meeting organized by Egypt on International Sanctions, July 2017

Canadian Parliamentary Committee presentation and Q and A session on the proposed adoption of a Magnitsky Act by Canada, October 2016

UN Inter-Panel Workshop for Panels of Experts, New York, November 2019 and December 2017, 2016, 2015, and 2014

EU Relex Group on Sanctions, Rome, July 2014

EU Relex Group on Sanctions, Brussels, June 2013

UK Foreign and Commonwealth Office, London, October 2012

Armenian Ministry of Foreign Affairs, Yerevan, May 2012

US Department of State, Washington, May 2012

US Permanent Mission to the UN, New York, April 2012

EU Relex Group and UN Sanctions Specialists, New York, March 2012

Canadian Department of Foreign Affairs and International Trade, Ottawa, April 2011

Slovenian Ministry of Foreign Affairs, Ljubljana, 2009

MEDIA INTERVIEWS & APPEARANCES

Le Temps, interview on Mali sanctions, January 2022

Le Temps, interview on Iran sanctions, September 2020

RTS, Swiss television, interviews on Russia sanctions, May and August 2014

Le Temps, interview on Iran sanctions negotiations, November 2013

Agence France Presse, interview on Iran sanctions negotiations, November 2013

Bloomberg Financial, interview on Iran sanctions violations, November 2013

Tribune de Geneve, on SanctionsApp, June 2013

ABC News, on President Bush's address to the UN, 2007

National Public Radio, on President Bush's address to the UN, 2006

MSNBC, on Saudi royal family links to terrorist financing, 2003

TEACHING SPECIALTIES

Theories of International Relations

Multilateral Governance and International Organizations

Governance and Regulation: Actors and Processes

Economic Aspects of Contemporary Security Challenges

International Sanctions

International Political Economy

International Political Economy of Development

Doctoral Seminar in Political Science and International Relations

Transnational Policy Networks and Global Governance

Dialectics of World Orders

PROFESSIONAL ACTIVITIES

Research Appointments

Visiting Scholar, School of Social and Political Sciences, Faculty of Arts and Social Sciences, University of Sydney, Sydney, Australia, 2017

Public Policy Scholar, Woodrow Wilson International Center for Scholars, Washington, DC, 2007

Visiting Fellow, Geneva Centre for Security Policy (GCSP), 2006

Senior Visitorship, Nuffield College, Oxford University, 2006

Visiting Professor, Centre for International Studies, University of Toronto, 1999

Senior Visitorship, Nuffield College, Oxford University, 1997

Senior Associate Member, St. Antony's College (and Senior Visiting Research Fellow at the International Development Centre, Queen Elizabeth House), Oxford University, 1990

Visiting Fellow, Institute of Development Studies, University of Sussex, Brighton England, 1980 and 1983

Senior Research Fellow, International Peace Research Institute, Oslo, Norway, 1979-1980

Visiting Research Scholar, University of Ibadan, Nigeria, 1981-1982

Visiting Research Scholar, University of Lagos, Nigeria, 1975-1976

Professional Service

Member of the Globalizing International Relations Working Group, International Studies Association, 2019 to the present

Co-director (with Kelvin Ong, Head of the UN Security Council Subsidiary Organs Branch) of a week-long training course for the UN Secretariat, UN Sanctions Panels of Experts, Private Sector Representatives, and UN Member States on United Nations Sanctions International Training, Geneva, January 2019, January 2020 and July 2021

Member of the World Economic Forum's Global Futures Council for the Korean Peninsula, November 2019 to 2021

Co-coordinator of a week-long training course on International Sanctions for the Institute of International Relations (IIR), University of the West Indies, Trinidad, March 2020

Co-Moderator (with Erica Moret of the Global Governance Centre) of a Compliance Dialogue on Syria-Related Humanitarian Payments, February 2019

Co-chair (with Patryk Pawlak of the EUISS) of a European Union Institute for Security Studies Task Force on Cyber Sanctions, 2018 through 2019

Member of the Trans-Atlantic Task Force on International Sanctions, Royal Union Services Institute (RUSI), London, 2016-2017

Delivered a series of lectures on multilateral governance and international organizations at the University of Ljubljana, Slovenia, 2013, 2014, 2015, 2016, and 2017

Chair of the Peer Review Committee for the Review of the Department of Government, University of the West Indies, Mona Campus, Kingston, Jamaica, September 2012

Conducted Review of the School of International Studies, University of Trento, Italy, October 2011

Developed and taught a week-long course on International Organizations for the Diplomatic Academy of Armenia, Yerevan, Armenia, on behalf of the College of Europe, Brussels, September 2011, May 2012, May 2014, December 2016, January 2017, and January 2018

Co-Chair, Section on International Cooperation, American Political Science Association, annual meeting, September 2010

Member of the Lionel Gelber Prize Award Jury, Munk Centre, University of Toronto, 2004 and 2005

Chair of the Peer Review Committee for the Evaluation of the Institute of International Relations at the University of West Indies, Trinidad and Tobago, November – December 2004

Member of the International Advisory Board of Sanctions Watch, 2004 to 2014

Member of the Independent Task Force on Terrorist Financing, Council on Foreign Relations, New York, 2002 to 2005

Chair, Peer Review Committee for the Evaluation of the Graduate Institute of International Studies (Institut universitaire de hautes études internationales), Geneva, Switzerland, June - August 2003

Chair, Committee on Global Security and Cooperation, Social Science Research Council, New York, 2000 to 2003

Rapporteur for Working Group 2, Implementation of Targeted Sanctions at the National Level, Stockholm Process on Implementing Targeted Sanctions (SPITS), February – November 2002

Member of an External Review Committee for the Keck Center at Claremont-McKenna College, April 2001

Member of an External Review for the Department of Political Science, University of Toronto, January 2001

Conducted an External Review for the Institute of International Studies, University of California at Berkeley, October 1999

Principal organizer of the Program for International Studies in Asia (PISA) Summer workshop on International Political Economy, Beijing, China, July 1994

Member of the External Review Committee for the Department of Political Science at the University of Pennsylvania, April 1994

Member of the Screening Committee, SSRC International Pre-dissertation Fellowship Program, 1990-1994

Member of the Helen Dwight Reid Award selection committee, American Political Science Association, 1992-93

Member of the ACLS/SSRC Joint Committee on African Studies, 1984 to 1988

Member of the Screening Committee, SSRC International Doctoral Research Fellowships for Africa, 1983-84

Chair of Helen Dwight Reid Award selection committee, American Political Science Association, 1981-82

Current Editorial Board Memberships

Global Perspectives, Section Editor for Security and Cooperation, International Institutions and Relations, 2018 to the present. The journal received the **Best New Journal Award** from the American Council of Editors of Learned Journals, a group associated with the American Language Association. The award was announced at the ALA meeting on 9 January 2022.

Stability: International Journal of Security & Development, 2011 to the present

International Relations of the Asia Pacific, (Journal of the Japan Association of International Relations), Associate Editor, 1999 to the present

Previous Editorial Board Memberships

Oxford Development Studies, U.S. Regional Editor, 1996 to 2019

Development, Conflict and Peacebuilding, Series Co-Editor, Routledge Publishers, 2009 to 2017

European Review of International Studies, Steering Committee, 2012 to 2016

Journal of Peace Research, International Editorial Advisory Board Member, 1986 through 2014

European Journal of International Relations, Editorial Board Member, 2000 to 2013, Editorial Committee Member, 2008 to 2013

Politics and Gender, Editorial Board Member, 2010 to 2013

European Journal of Development Research, Editorial Board Member, 2008 to 2013

Cambridge Studies in International Relations, Cambridge University Press, Book Series Editorial Board Member, 1999 - 2006

International Studies Review, Chair of the Editorial Board, 1999 - 2002

International Organization, 1989 - 1994

International Studies Quarterly, 1985 - 1994

International Political Economy Yearbook, 1987 - 1993

Journal of Conflict Resolution, 1979 – 1986

Other Board Memberships

Member of the Advisory Board (des Geschäftsleitenden Ausschusses) of the Institute for Political Science, University of St Gallen (des Instituts für Politikwissenschaft an der Universität St.Gallen), 2009 to the present

Member of the Advisory Board for the UN Sanctions and Humanitarian Action Project of the UN University's Centre for Policy Research June through December 2021

Member of the Board of Directors of the Academic Council on the United Nations System (ACUNS), 2014 to 2017

Member of the Academic Advisory Board of the Geneva Centre for Security Policy (GCSP), January 2011 to 2014

CURRENT RESEARCH

Informal Governance (with Kenneth Abbott and Oliver Westerwinter), 2016-2022

The Dialectics of World Orders (with Hayward Alker, Tahir Amin, Ijaz Gilani, Peter Haas, and Takashi Inoguchi)

SanctionsApp annual updates (with Marcos Tourinho and Zuzana Hudakova)

Transnational Policy Networks and Global Governance

UN Sanctions and Mediation Efforts: Complementing or Complicating? (with Rebecca Brubaker, UN University, Tokyo and David Lanz, swisspeace, Bern), 2016-2021

Can an International Organization's Propensity to Reform Help its Member-states Break through Policy Gridlock? (with Cédric Dupont, Cecilia Cannon, and Velibor Jakovleski), 2016-2020